Switched-Capacitor Circuits

- Mathematical Background
- Basic Building Blocks
- SC Filters
- SC Oscillators
- SC PLL



Analog and Mixed-Signal Center, TAMU

Examples of Analog and Digital Signals



Analog and Digital Signals and Systems Concepts

Types of signals

- Continuous-Time (CT)
 --Continuous values in time
 --Continuous values in magnitude
- Sampled Data (SD) --Continuous values in magnitude
 - --Discrete values in time
- Digital
 - --Discrete values in magnitude
 - --Discrete values in time

Mathematical Description

Laplace

Z-Transform

Z-Transform

Examples of Basic Implementations

• First-order Low Pass (Continuous-Time)

$$H(s) = \frac{K}{1 + s/\omega_{p}} \quad ; \quad \frac{V_{o}(s)}{V_{in}(s)} = \frac{K\omega_{p}}{s + \omega_{p}} \quad ; \quad \frac{dv_{o}(t)}{dt} + \omega_{p}v_{o}(t) = K\omega_{p}v_{in}(t)$$

Continuous-time





Stability implies to have poles in the left-half plane (LHP)



Frequency Response



Impulse Response



Taking the inverse z-transform $\omega_{p1}v_o(nT) - v_o(n-1)T = K_1\omega_{p1}v_{in}(nT)$

$$v_{o}(n-1)T - \omega_{p}v_{o}(nT) = -K_{1}\omega_{p1}v_{in}(nT)$$

This difference equation represents the first-order low pass in the Z-domain.

Note that

$$Z^{-1}X_{o}(z) \Rightarrow X_{o}(n-1)T$$
$$Z^{-b}X_{o}(z) \Rightarrow X_{o}(n-b)T$$



Frequency Response (A periodic transfer function!)



What are the relationships between the s-plane and z-plane?

- There are a number of mappings between the two planes
- The most popular and exact is the bilinear mapping.

$$s = \frac{2}{T} \frac{1 - z^{-1}}{1 + z^{-1}} = \frac{2}{T} \frac{z - 1}{z + 1}$$
 or $z = \frac{1 + (T/2)s}{1 - (T/2)s}$

• The commonly used for high-sampling rate is:

$$s = \frac{1}{T} \frac{1 - z^{-1}}{z^{-1}} = \frac{1}{T} \frac{z - 1}{1}$$

or

$$z = sT + 1$$



Example. Approximate a first-order low-pass continuous-time to a discrete-time low-pass under high-sampling conditions. $(fs/f \gg 1)$



What is the 3dB cut-off frequency in both domains?

$$f_{3dB} = \omega_p$$
 CT

For H(z) is more complex than the computation of f_{3dB} .

$$H(e^{j\omega T}) = \frac{K\omega_{p}T}{\cos\omega T + j\sin\omega T - (1 - \omega_{p}T)}$$
$$H(e^{j\omega T}) = \frac{|H(e^{j\omega_{3dB}T})|}{\sqrt{2}}$$
$$\omega = \omega_{3dB}$$

$$\omega_{3dB} = \frac{1}{T} \cos^{-1} \frac{2 - 2\omega_{p}T - (\omega_{p}T)^{2}}{2(1 - \omega_{p}T)}$$

Numerical example. Low-Pass (First Order)

$$\omega_{p} = 2\pi \times 10^{3} \text{ r/s}$$

 $f_{c} = f_{s} = 20 \text{ KHz}$; $T = 1/f_{s}$; $\omega_{p}T = \frac{2\pi \times 10^{3}}{20 \times 10^{3}} = 0.1\pi$
 $K = 2$

For the continuous-time

$$f_{3dB} = 1 \text{KHz}$$

$$H(j\omega) \bigg|_{\omega} = \frac{2}{\sqrt{2}} = 1.4142$$

$$\omega = \omega_{3dB}$$

For the sample-data

$$f_{3dB} = \frac{f_s}{2\pi} \cos^{-1} \frac{2 - 2\omega_p T - (\omega_p T)^2}{2(1 - \omega_p T)}$$
$$f_{3dB} \approx 1.16 \text{KHz}$$

Switched - Capacitor Filters

- Use Z-transform mathematics
- Are described by difference equations
- Time constants are proportional to capacitor ratios
- Best implementation for audio applications
- Originally the basic goal was to replace resistors by switches and capacitors
- This design approach is one of the most popular in the industry

Advantages

- Reduced silicon area
- Good accuracy. Time constants are implemented with capacitor ratios (~0.1%)
- Don't require a low-impedance output stage (OTA's could be used)
- Could be implemented using digital circuit process technology
- Very useful in the audio range



PHASE PERIODS OF A CONVENTIONAL CLOCK SEQUENCE



S/H and its respective odd and even components

 $y_{\rm H}(t) = y_{\rm H}^{\rm o}(t) + y_{\rm H}^{\rm e}(t)$

or

 $Y_{\rm H}(Z) = Y_{\rm H}^{\rm o}(Z) + Y_{\rm H}^{\rm e}(Z)$



 $V_o(Z) = V_o^e(Z) + V_o^o(Z)$ $H(Z) = \frac{V_o(Z)}{V_{in}(Z)}$

CONVENTIONAL NOTATION FOR TRANSFER FUNCTION IS:

$$H^{ij}(Z) = \frac{V_o^J(Z)}{V_{in}^i(Z)}$$

i and *j* can be either "e" or "o". i,e.,

$$H^{eo}(Z) = \frac{V_o^o(Z)}{V_{in}^e(Z)}$$

TWO-PHASE CLOCK GENERATOR

SINGLE PHASE



SWITCHED-CAPACITOR EQUIVALENT RESISTOR



At time $t = t_0$ we apply the clocks.





$$\mathbf{Q}(\mathbf{t}_{\mathrm{o}} + \mathbf{T}) = \mathbf{C}(\mathbf{V}_{2} - \mathbf{V}_{1})$$

)

For the next period, at ϕ_1





ACCURACY OF TIME CONSTANTS

• CONTINUOUS TIME:

$$\begin{split} \tau &= R_1 C_2 \\ & \frac{d\tau}{\tau} = \frac{dR_1}{R_1} + \frac{dC_2}{C_2} \to \pm 40\% \to \pm 65\% \\ & \text{where } \frac{d\tau}{\tau} \text{ is interpreted as the accuracy of } \tau \,. \end{split}$$

TEMPERATURE DEPENDANT!

• DISCRETE TIME:

$$\tau = \frac{1}{f_C C_1} \cdot C_2 = T(\frac{C_2}{C_1})$$
$$\frac{d\tau}{\tau} = \frac{dT}{T} + \frac{dC_2}{C_2} - \frac{dC_1}{C_1}$$
$$\frac{d\tau}{\tau} \cong \frac{dC_2}{C_2} - \frac{dC_1}{C_1} \rightarrow 0.1\%$$

KC_HL KIRCHOFF "CHARGE" LAW



$$V_{C_{j}} = V_{x}(t_{2}) - V_{y}(t_{2}) - (V_{x}(t_{1}) - V_{y}(t_{1}))$$

Voltage across the capacitor V_{C_j} = voltage difference (at present time) across the capacitor minus initial condition (at past time).

CHARGE CONSERVATION

ANALYSIS METHOD

 $q_L(n) = q_M(n-1) + \hat{q}_C(n)$





for ϕ_2

$$C_{2}[V_{2}^{e}(n) - V_{2}^{o}(n - \frac{1}{2})] + C_{1}[V_{2}^{e}(n) - V_{1}^{o}(n - \frac{1}{2})] = 0$$

for ϕ_1

$$C_2[V_2^o(n-\frac{1}{2})-V_2^e(n-1)] = 0 \Rightarrow V_2^o(n-\frac{1}{2}) = V_2^e(n-1)$$

THEN



$$V_{2}^{e}(n) = V_{2}^{e}(n + \frac{1}{2})$$

$$C_{2}[V_{2}^{o}(n + \frac{1}{2}) - V_{2}^{o}(n - \frac{1}{2})] + C_{1}[V_{2}^{o}(n + \frac{1}{2}) - V_{1}^{o}(n - \frac{1}{2})]$$

$$C_{2}[V_{2}^{o}(Z)][Z^{1/2} - Z^{-1/2}] + C_{1}V_{2}^{o}(Z)Z^{1/2} = C_{1}V_{1}^{o}(Z)Z^{-1/2}$$

$$C_{2}(1 - Z^{-1})V_{2}^{o}(Z) + C_{1}V_{2}^{o}(Z) = C_{1}V_{1}^{o}(Z)Z^{-1/2}$$

$$\frac{V_{2}^{o}(Z)}{V_{1}^{o}(Z)} = \frac{C_{1}Z^{-1}}{C_{2}(1 - Z^{-1}) + C_{1}} = \frac{C_{1}Z^{-1}}{C_{2} + C_{1} - C_{2}Z^{-1}}$$

$$\frac{V_{2}^{o}(Z)}{V_{1}^{o}(Z)} = \frac{C_{1}}{(C_{2} + C_{1})Z - C_{2}} = \frac{C_{1}}{(C_{2} + C_{1}) + (C_{2} + C_{1})ST - C_{2}}$$

$$Z \approx 1 + ST$$

For high-sampling rate $\omega T \ll 1$

$$\frac{V_{2}^{0}(Z)}{V_{1}^{0}(Z)} = \frac{C_{1}}{C_{1} + (C_{2} + C_{1})5T} = \frac{1}{1 + (\frac{C_{2} + C_{1}}{C_{1}})5T} = \frac{1}{1 + \int_{1}^{5} \frac{C_{1}}{(C_{2} + C_{1})T}}$$

$$Z \approx 1 + ST$$

$$f_{3d\beta} \approx \frac{1}{2\pi} \cdot \frac{C_{1}}{(C_{2} + C_{1})T} = \frac{1}{2\pi} \cdot \frac{f_{c}}{1 + \frac{C_{2}}{C_{1}}}$$
Aside:
$$f_{3d\beta} \approx \frac{1}{2\pi} \cdot \frac{1}{R_{1}C_{2}} \approx \frac{1}{2\pi} \cdot \frac{1}{\frac{TC_{2}}{C_{1}}} = \frac{1}{2\pi} \cdot \frac{f_{c}}{\frac{C_{2}}{C_{1}}}$$

Switched-Capacitor techniques advantages

- Reduced silicon area
- Good accuracy. Time constants are implemented with capacitor ratios (~0.1%)
- Don't require a low-impedance output stage (OTAs could be used)
- Could be implemented using digital circuit process technology
- Very useful in the audio range

Switched-Capacitor (SC) Filters





Systematic SC Filter Design



What are the advantages and disadvantages of the two filter design procedures ?

- •Mapping Techniques
 - + Systematic and well documented (see FIESTA-2)
 - + It can use any sampling rate, including the (minimum) Nyquist rate.
 - Difficult to implement by hand calculation
- •Transforming R to SC resistors
 - + It is, conceptually, easier to follow for analog designer
 - + Its design is straightforward
 - Yields not an optimal design for area, imposed high sampling rate involves larger capacitor ratios.

Switched-Capacitor Filters Components

Basic Elements



- polysilicon
- metal1-metal2
- parasitics, clock-feedthrough

Switches

- N-MOS
- Transmission gates
- Noise and on-resistance

OTAS

- DC-gain
- settling time (GBW, phase margin)
- noise

Non-overlapping clock phases

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Typical switched-capacitor integrator

Switched-Capacitor Filters: CAPACITORS



SWITCHES



Switched-Capacitor Filters: SWITCHES



Switches (continues)



$$C_{GS,GD} = C_{OX}WL_{D} + \frac{1}{2}C_{OX}WL$$
$$C_{BS,BD} = \frac{1}{2}\frac{C_{j0}WL}{\sqrt{1 + \frac{2\phi_{F}}{VSB}}} + C_{jBS}$$

mobile – ch arge = $C_{OX}WL(V_{GS} - V_T)$

•CGS and CGD are Linear polysilicon capacitors, introduce offset voltage.

 $^{\bullet}\mathrm{C}_{\mathrm{SB,DB}}$ are non-linear capacitors, introduce harmonic distortion components.

•Mobile charge introduces gain errors and harmonic distortion components.



Operational Transconductance Amplifier

PRACTICAL CONSIDERATIONS:

• **DC-gain**. The inverting input is not a real virtual ground. $v_{invert} = v_0 / A_{dc}$

• Settling time. C1 must be discharged during phase 1. The main limitation is due to limited output current and phase margin.

•Clock feedthrough. Can be alleviated by using especial clocking schemes.

• Noise. In most of the practical cases the dominant noise components are due to the Switches!!!



Switched-Capacitor Integrator Analysis



Switched-Capacitor Stray-Sensitive Integrator Analysis



 $[(0-0)C_1 - (v_i(t_0 + T/2) - 0)C_1] + [(0 - v_0(t))C_f - (0 - v_0(t_0 + T/2))C_f] = 0$

Solving for phase 2 (t=t0+T)

$$H(z) = -\frac{C_1}{C_f} \frac{z^{-1/2}}{1 - z^{-1}}$$

Switched-Capacitor Integrators : Stray-Insensitive Integrators



Backward Integrator



Forward Integrator

Charge conservation ==> Phase 1 $(v_i(nT) - 0)C_{in} + [(v_0(nT) - 0)C_f - (v_0(nT - T/2) - 0)C_f] = 0$

Phase 2

$$0 = (v_0(nT - T/2) - 0)C_f - (v_0(nT - T) - 0)C_f$$

Solving for phase 1

$$v_i(nT)C_{in} - [v_0(nT) - v_0(nT - T)]C_f = 0$$

$$H(z) = -\frac{C_{in}}{C_f} \frac{1}{1 - z^{-1}}$$

Charge conservation ==> Phase 1

$$(0 - v_i(NT - T/2))C_{in} + [(v_0(nT))C_f - (v_0(nT - T/2))C_f] = 0$$

Phase 2
 $0 = C_f v_0(nT - T/2) - C_f v_0(nT - T)$
 $v_{cin}(NT - T/2) = v_i(NT - T/2)$
 $v_i(nT - T/2)C_{in} - [v_0(nT) - v_0(nT - T)]C_f = 0$
 $H(z) = \frac{C_{in}}{C_f} \frac{z^{-1/2}}{1 - z^{-1}}$

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Switched-Capacitor Backward Integrator



Backward Integrator



phase 2

phase1

 C_{in} is charged ($v_{ci}=v_i$)

 C_{in} is discharged ($v_{ci}=0$) and v_{out} is constant

 $\Delta Q_{Cin} = C_{in} V_i \qquad \Delta Q_{Cf} = C_f \left(V_o(nT) - V_o(nT - T/2) \right)$

$$(v_{i}(nT) - 0)C_{in} + [(v_{0}(nT) - 0)C_{f} - (v_{0}(nT - T/2) - 0)C_{f}] = 0$$

or
$$v_{0}(nT) = v_{0}(nT - T) - \frac{C_{in}}{2}v_{i}(nT)$$

$$v_0(nT) = v_0(nT - T) - \frac{c_m}{C_f} v_i(nT)$$

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Switched-Capacitor Backward Integrator



Backward Integrator



$$v_0(nT) = v_0(nT - T) - \frac{C_{in}}{C_f} v_i(nT)$$

$$\mathbf{v}_0(\mathbf{n}\mathbf{T} + \mathbf{T}/2) = \mathbf{v}_0(\mathbf{n}\mathbf{T})$$

phase2

$$H^{oo}(Z) = \frac{v_0^{o}(z)}{v_i^{o}(z)} = -\frac{C_{in}}{C_f} \frac{1}{1 - Z^{-1}} \qquad H^{eo}(Z) = \frac{v_0^{e}(z)}{v_i^{o}(z)} = \frac{Z^{-1/2}v_0^{o}(z)}{v_i^{o}(z)} = -\frac{C_{in}}{C_f} \frac{Z^{-1/2}}{1 - Z^{-1}}$$

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phase 1

Analog Filter Design

JSM/39

Switched-Capacitor Integrators: Fundamental limitations



Backward (series) integrator: Why is stray insensitive???



phase2

C_{in}, C_{P1} and C_{P2} are discharged (initial conditons=0)

phase 1

C_{in} and C_{P1} are connected to Vi C_{P2} is connected to the virtual ground (initial conditons=0)

NOTE THAT
•CP1 IS CHARGED BY vi
•CP2 IS CONNECTED TO GROUND OR
VIRTUAL GROUND (provided that the gain of the OPAM is large enough)

•BOTH CP1 AND CP2 DO NOT INJECT ANY CHARGE TO CF.

$$H^{00}(Z) = \frac{v_0^{0}(z)}{v_i^{0}(z)} = -\frac{C_{in}}{C_f} \frac{1}{1 - Z^{-1}}$$

Why SC-Circuits are important??



•Little sensitive to parasitics (due to the use of local feedback)

•High precision (0.2-1 %) (function of capacitor ratios and clock frequency)

$$H^{00}(Z) = -\left[\frac{C_{in}}{C_f}\right]\frac{1}{1-Z^{-1}}$$

•**Reduced Silicon-Area** (double poly or metal-metal capacitors can be easily implemented in CMOS).

•OPAMPS (VCVS) ARE NOT REQUIRED; OTAS ARE FASTER

(Resistors are simulated by switches and capacitors).

Fully-Differential Filters: CMFB Principle



• A common-mode feedback loop must be used.

•BASIC IDEA: A circuit with very small impedance for the commonmode signas but be transparent for the differential signals.

• Differential GBW similar to the GBW of the CMFB

• Minimum power consumption

Fully-Differential Filters: CMFB Principles





• A voltage controlled current source in a unity gain configuration represents a grounded impedance.

BASIC PRINCIPLE:

- The loop works for the common-mode signals.
- Ideally, the differential signals are not sensed

| common-mode impedance=1/gm

Fully-Differential Filters: CMFB Principles



CMFB Characteristics:

| Transconductance gain= $g_{m2}/2$

| dominant pole at the output

| At least 2 additional poles in the loop

| Zcm reduces the OTA dc gain, affecting the differential gain

| NOTE THAT Vcm IS FORCED TO THE GROUND LEVEL.

| DC OFFSET IS AROUND loff/gm2

CMFB

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Fully-Differential Filters: CMFB Principles



Fully-Differential Filters: OPAMP



If Δ IB is positive transistors M3 eventually will be biased in triode region (small resistance)

- dc gainis drastically reduced
- THD increases

• The common-mode output impedance is the parallel of the equivalent output resistance (M1 and M3) and the parasitic capacitors. For large dc gain, the output impedance at nodes v01' and v02' are further increased.

• \triangle IB produces a dc offset of R_{out} \triangle IB.

Fully-Differential Filters: OP AMP



Pseudo-Differential SC Amplifier





(a) Cascode transconductor with gain enhancement

Cascode transconductor with gain-enhancement



Proposed capacitive level-shifting scheme





Fig. 4 (a) Pseudo-Differential core amplifier and (b) the biasing circuit

TABLE I

SUMMARY OF SIMULATED PERFORMANCE

Power Supply	1.8V
Supply Current	1.25mA
Open-Loop Gain	>100dB
U.G. Frequency	135MHz
Phase Margin	56°
Input Noise	$22nV(Hz)^{1/2}$
Closed-Loop Gain	2
Gain Error	<.05%
Max. Sampling Rate	5MS/sec.
Differential Swing	1.6V









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